



IAP RAS

***Submillimeter planar gyrotrons
with transverse extraction of
electromagnetic energy***

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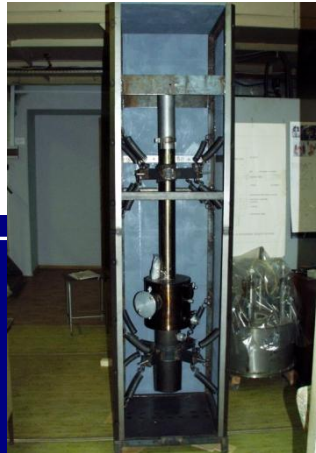
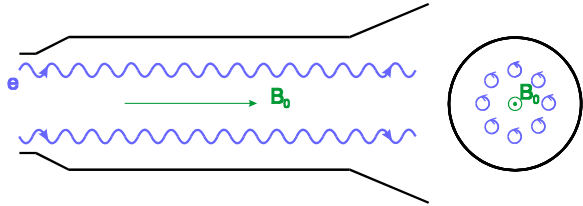
Submillimeter gyrotrons

Operating frequency ~ 1 THz

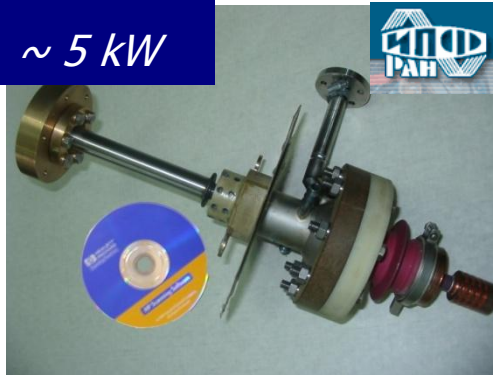
Idehara T. et al University of Fukui, Japan
Glyavin M. et al Inst. of Applied Physics RAS, Russia

Conventional gyrotron

$$\omega \approx \omega_H$$



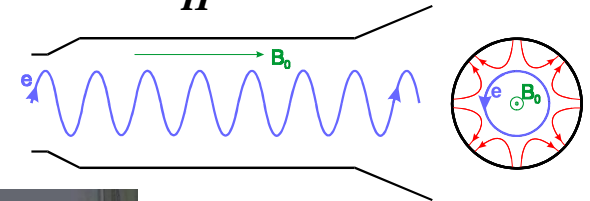
Magnetic field - 35.6 T
Accelerating voltage - 30 kV
Beam current - 5 A
Operating mode - $TE_{17,4}$
Output power ~ 5 kW



Bratman V. et al Inst. of Applied Physics RAS, Russia

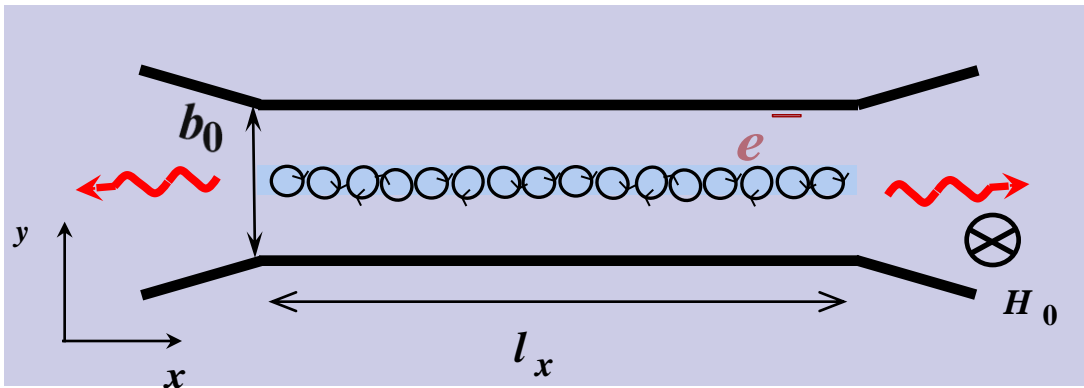
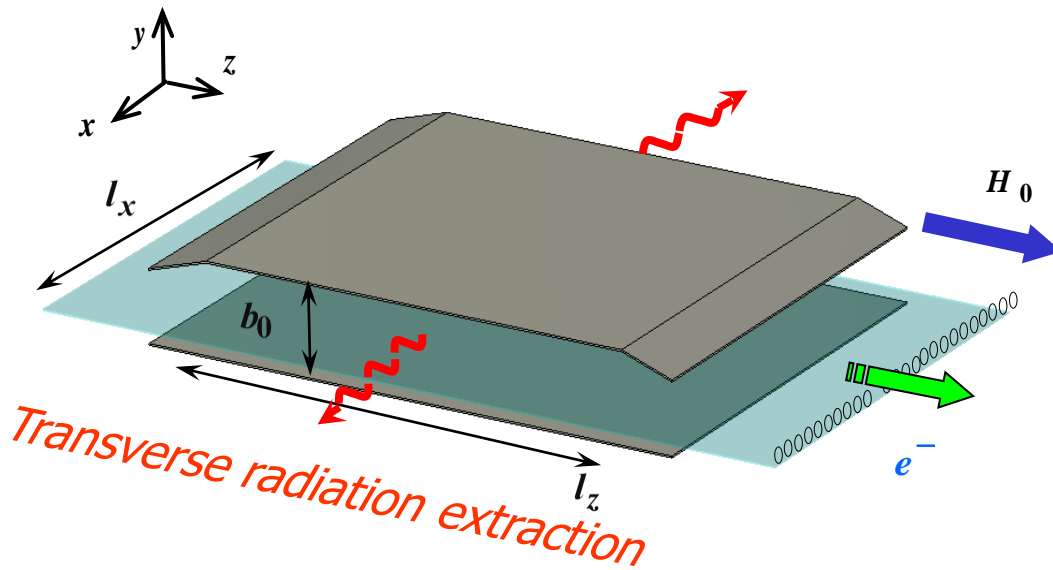
Large orbit gyrotron (LOG)

$$\omega \approx n \omega_H$$

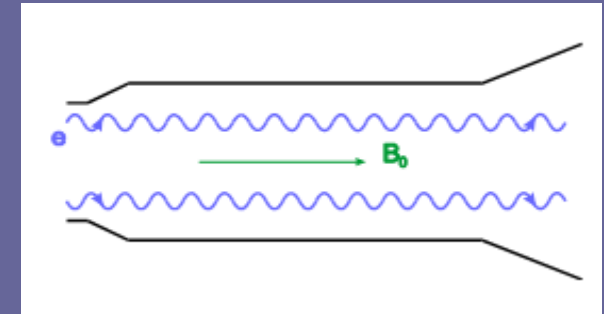


Magnetic field - 12 T
 $n=3$
Accelerating voltage - 80 kV
Beam current - 0.7 A
Operating mode - $TE_{3,6}$
Output power ~ 0.5 kW

Scheme of planar gyrotron with transverse diffractive energy extraction

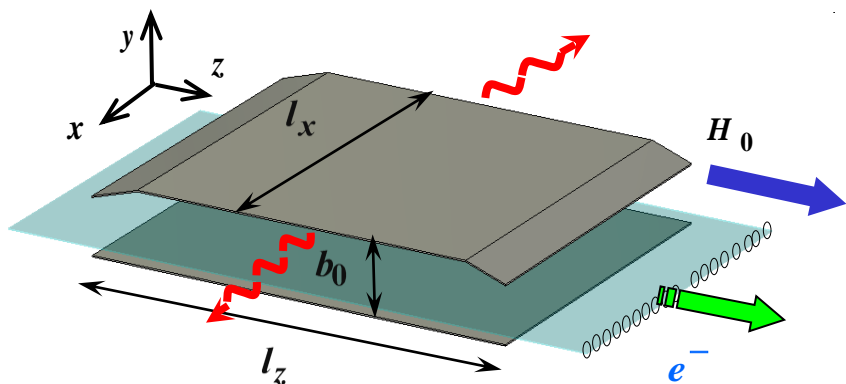


Conventional gyrotron scheme



Diffractive mechanism of energy extraction takes place along longitudinal coordinate

Selection mechanism in planar scheme of gyrotron



Longitudinal coordinate z

- electronic mechanism caused by shifting of zone of cyclotron resonance mismatch for modes with different longitudinal indexes

Open transverse coordinate x

- electro-dynamical mechanism of mode selection due to difference in diffraction losses for modes with different number of variations.

Transverse coordinate y

$$\frac{c \pi}{b_0} > \frac{\omega}{N}$$

$N = \omega_H l_z / 2\pi V_{||0}$ is number of cyclotron oscillations

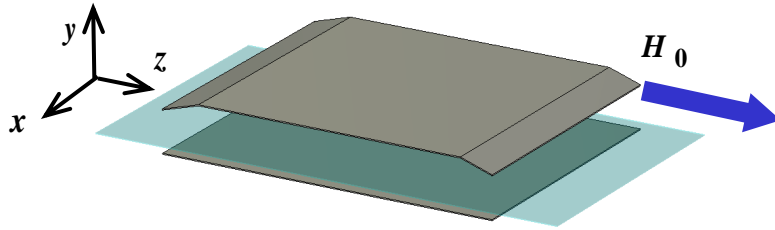
$$b_0 \leq N\lambda$$

Cylindrical resonator of conventional gyrotron

$$\Delta \omega = \frac{1}{\omega} \frac{\pi c^2}{S} > \frac{\omega}{N}$$

$$R < \frac{\sqrt{N \lambda}}{2\pi}$$

Basic equations



$$\vec{E} = \vec{x}_0 \operatorname{Re} \left\{ A(x, z, t) \sin(\pi s y / b_0) e^{i\omega_c t} \right\}$$

s - mode number

$$p = (p_x + ip_y) \exp(i\omega_c t) / p_{\perp 0}$$

$$\tau = \omega_c t \frac{\beta_{\perp 0}^4}{8\beta_{\parallel 0}^2} \quad Z = \pi \frac{\beta_{\perp 0}^2}{\beta_{\parallel 0}} \frac{z}{\lambda}$$

$$X = \pi \frac{\beta_{\perp 0}^2}{\beta_{\parallel 0}} \frac{x}{\lambda} \quad a = \frac{eA}{m\omega_c c \beta_{\perp 0}^3 \gamma_0}$$

$$i \frac{\partial^2 a}{\partial Z^2} + i \frac{\partial^2 a}{\partial X^2} + \frac{\partial a}{\partial \tau} + \sigma a = F(X) G J$$

$$\frac{dp}{dZ} + ip \left(\Delta - 1 + |p|^2 \right) = -a \quad J = \frac{1}{\pi} \int_0^{2\pi} p d\theta_0$$

$$p(Z=0) = \exp(i\theta_0), \quad \theta_0 \in [0, 2\pi]$$

$$\Delta = \frac{2}{\beta_{\perp 0}^2} \frac{\omega_c - \omega_H}{\omega_c}$$

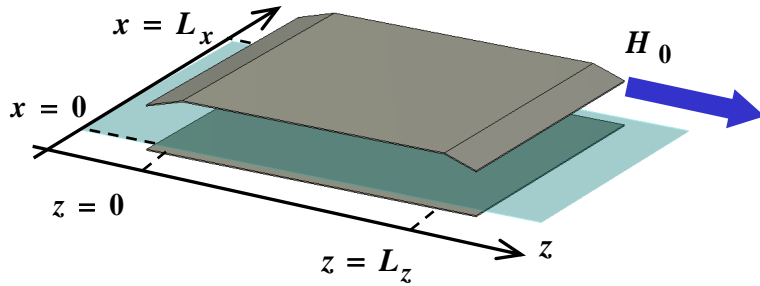
- mismatch of cyclotron resonance

$$G = \frac{4}{\pi} \frac{e j_0}{m c^3} \frac{\lambda^2}{b_0} \frac{\beta_{\parallel 0}}{\beta_{\perp 0}^6 \gamma_0}$$

$$\sigma = \frac{\delta}{b_0} \frac{8\beta_{\parallel 0}^2}{\beta_{\perp 0}^4}$$

δ - skin depth

Expansion in the Fourier series



$$a(Z = 0) = a(Z = L_z) = 0$$

$$a = \sum_{n=1}^{\infty} a_n(X, \tau) \sin \frac{n\pi}{L_z} Z$$

$$-i\nu_n a_n + i \frac{\partial^2 a_n}{\partial X^2} + \frac{\partial a_n}{\partial \tau} + \sigma a_n = F(X) \frac{G}{L_z} \int_0^{L_z} J \sin \frac{n\pi}{L_z} dZ$$

$$\frac{dp}{dZ} + ip \left(\Delta - 1 + |p|^2 \right) = - \sum_{n=1}^{\infty} a_n(X, \tau) \sin \frac{n\pi}{L_z} Z$$

Radiation boundary conditions

$$a_n(X = 0, L_x; \tau) \pm$$

$$\pm \frac{1}{\sqrt{\pi i}} \int_0^{\tau} \frac{e^{-(\sigma - i\nu_n)(\tau - \tau')}}{\sqrt{\tau - \tau'}} \frac{\partial a_n(X, \tau')}{\partial X} \Big|_{X=0, L_x} d\tau' = 0$$

$$\nu_n = (n\pi/L_z)^2$$

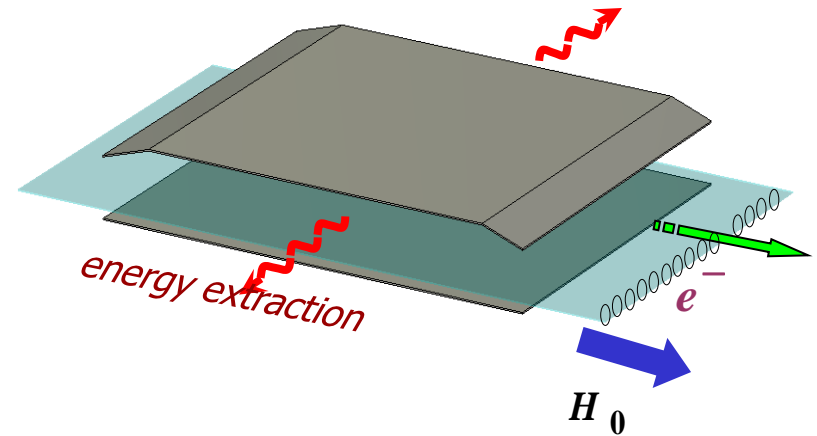
Standard gyrotron equations

$$i \frac{\partial^2 a}{\partial Z^2} + \frac{\partial a}{\partial \tau} = GJ$$

$$\frac{dp}{dZ} + ip \left(\Delta - 1 + |p|^2 \right) = -a$$

Parameters of submillimeter planar gyrotron used for simulations

| | |
|-------------------------|-----------------------|
| Operating frequency | ~ 1 THz |
| Accelerating voltage | 30 kV |
| Pitch-factor | ~ 1 |
| Linear current density | 40 A/cm |
| Distance between plates | 1 cm $\sim 30\lambda$ |



$$G = \frac{4}{\pi} \frac{e j_0}{m c^3} \frac{\lambda^2}{b_0} \frac{\beta_{||0}}{\beta_{\perp 0}^6 \gamma_0}$$

- **current parameter**

$$G \approx 0.004$$

$$\sigma \approx 0.0015$$

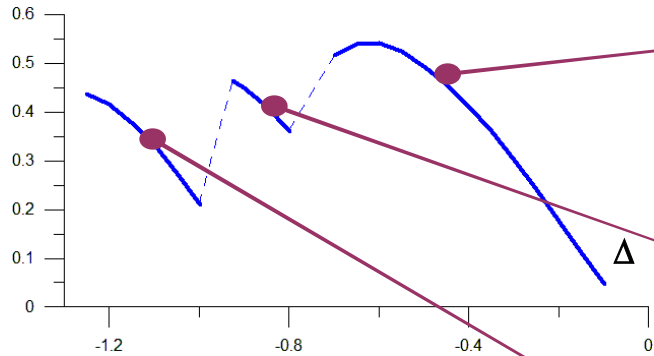
$$\sigma = \frac{\delta}{b_0} \frac{8 \beta_{||0}^2}{\beta_{\perp 0}^4}$$

- **losses parameter**

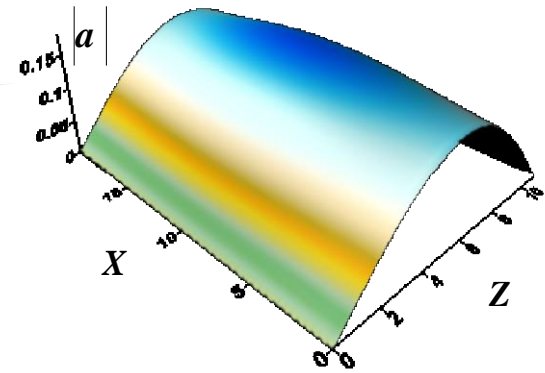
δ is the skin depth

Main zones of stationary generation corresponding to excitation of modes with different number of longitudinal variations

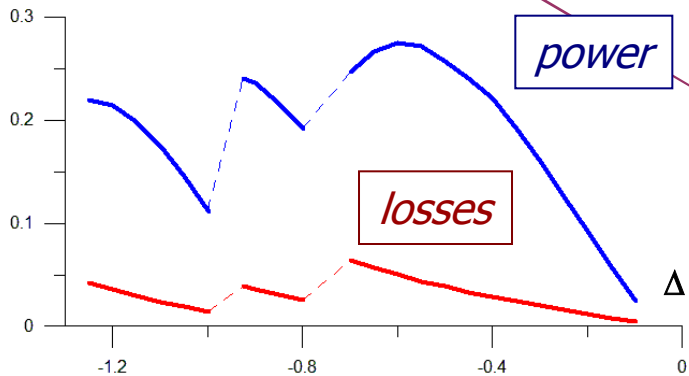
transverse efficiency



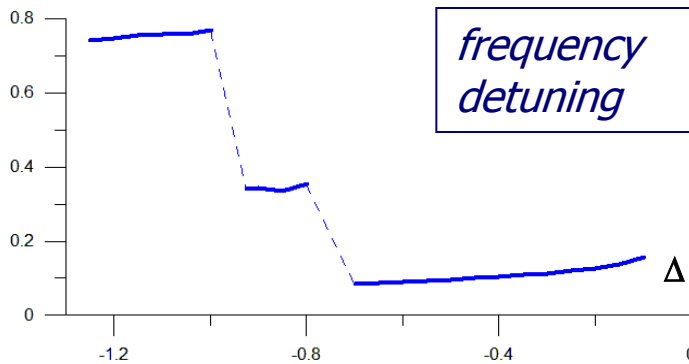
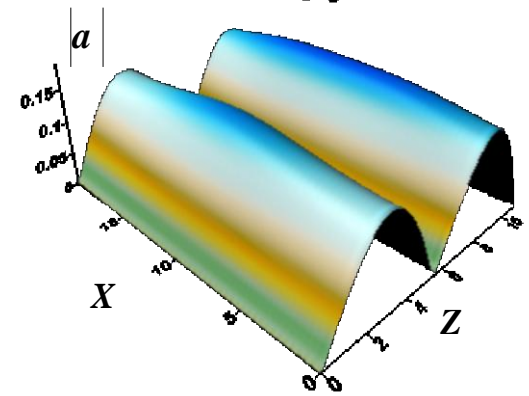
$$\Delta = \frac{2}{\beta_{\perp 0}^2} \frac{\omega_c - \omega_H}{\omega_c}$$



power



losses



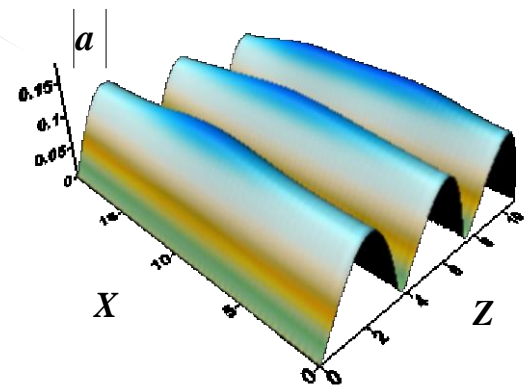
frequency detuning

$$L_x = 20$$

$$L_z = 11$$

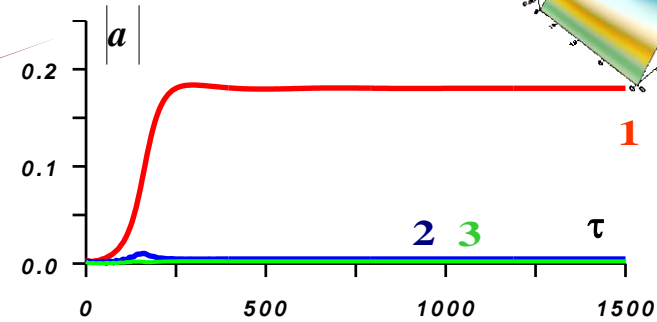
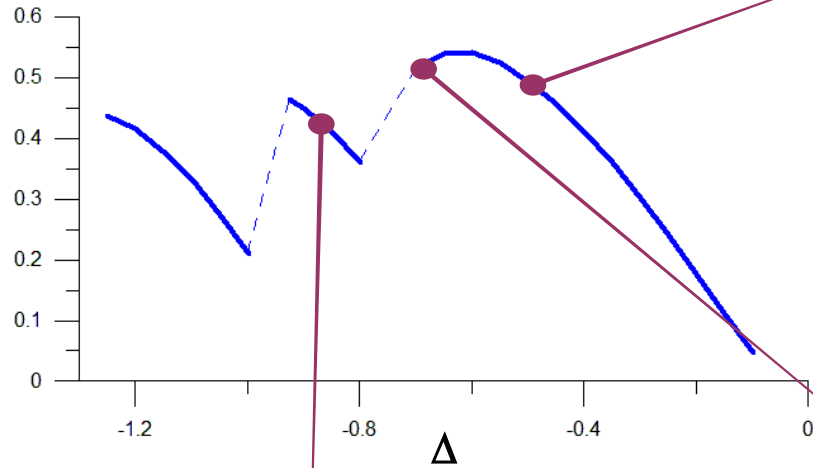
$$G \approx 0.004$$

$$\sigma \approx 0.0015$$



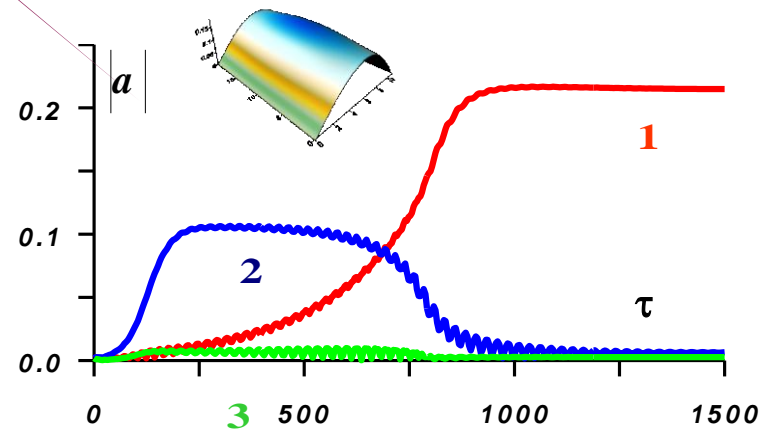
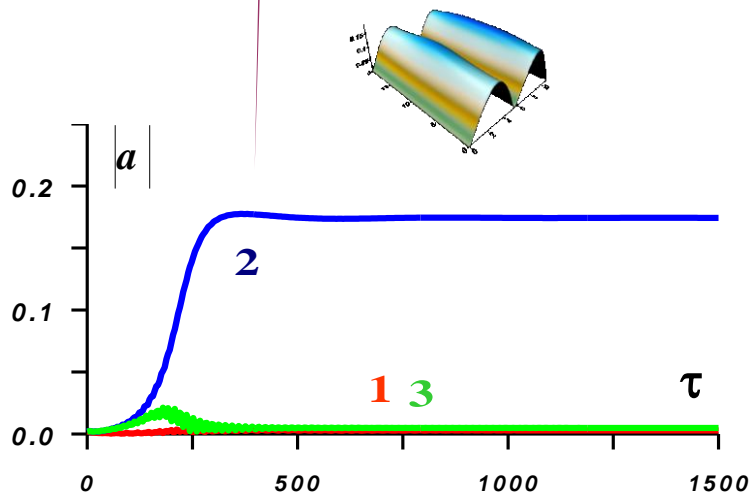
The longitudinal mode competition patterns.

transverse efficiency

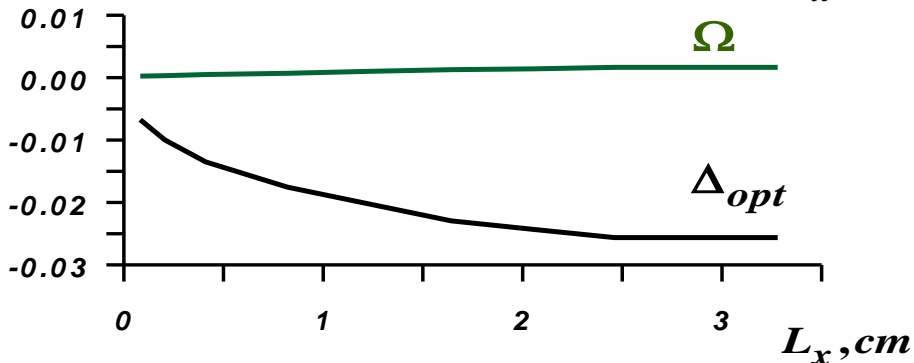
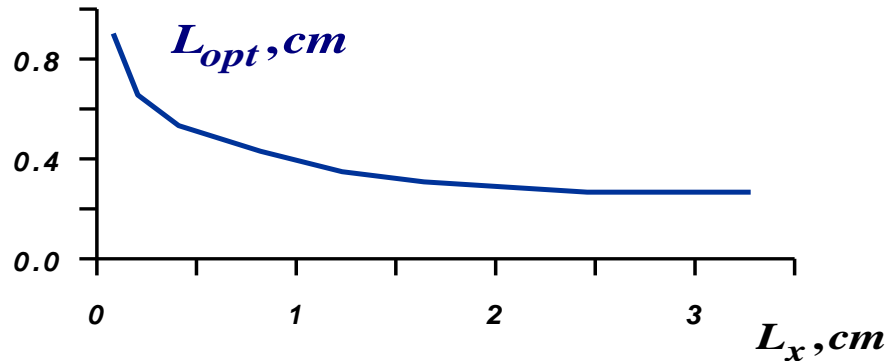
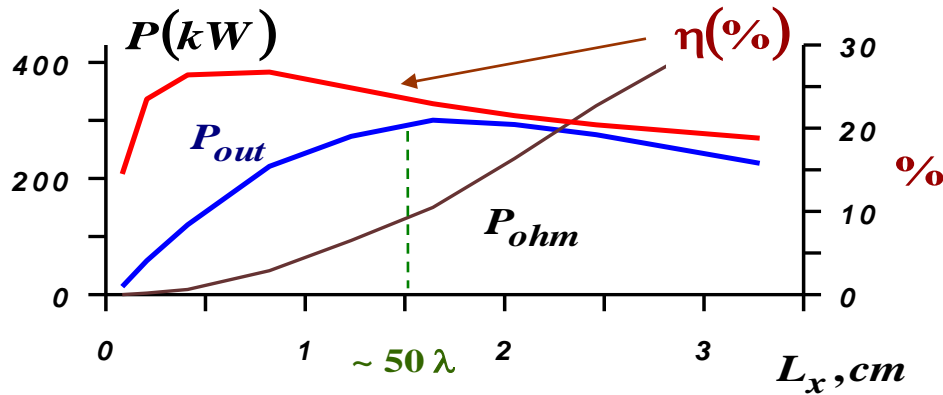


$$L_x = 20$$

$$L_z = 11$$



Parameters of steady-state regime vs gyrotron transverse size L_x

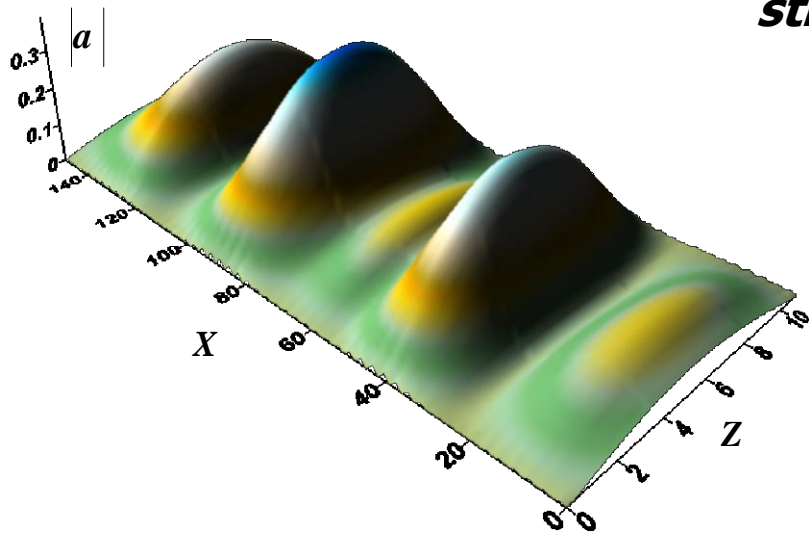


Parameters of simulations

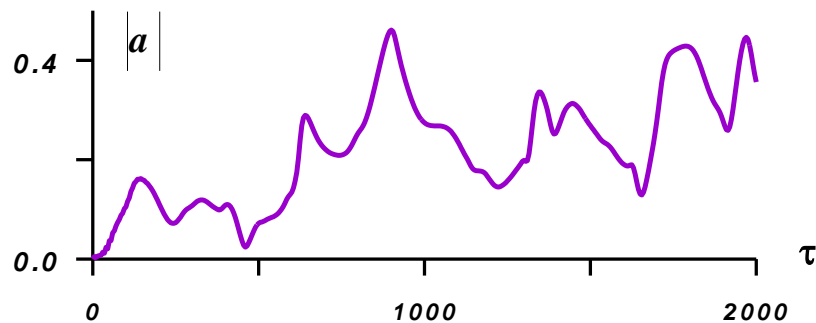
| | |
|-------------------------|--------------|
| Operating frequency | ~ 1 THz |
| Accelerating voltage | 30 kV |
| Linear current density | 40 A/cm |
| Pitch-factor | ~ 1 |
| Distance between plates | 1 cm |

| | |
|----------------|---------------|
| Optimal width | 1.5 cm |
| Optimal length | 0.46 cm |
| Efficiency | ~ 26 % |
| Output power | ~ 300 kW |
| Losses | ~ 30 % |

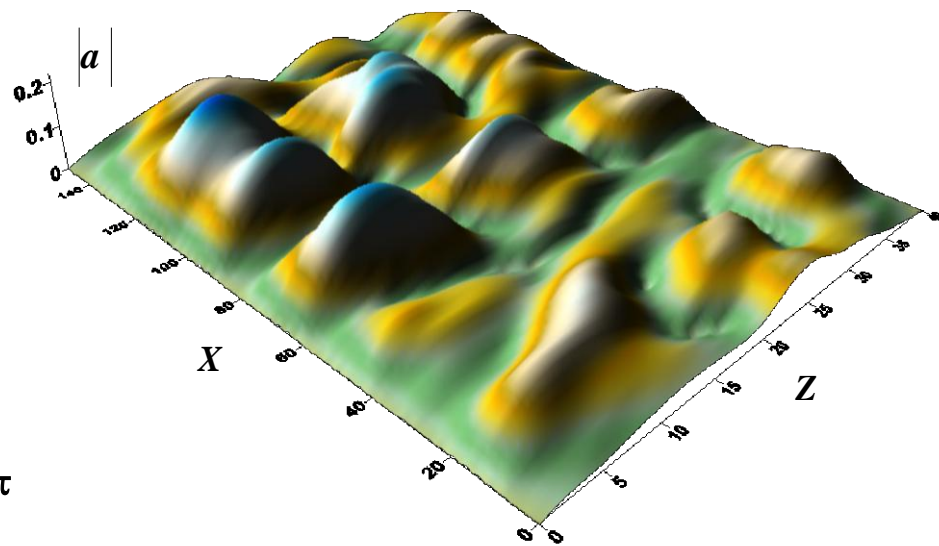
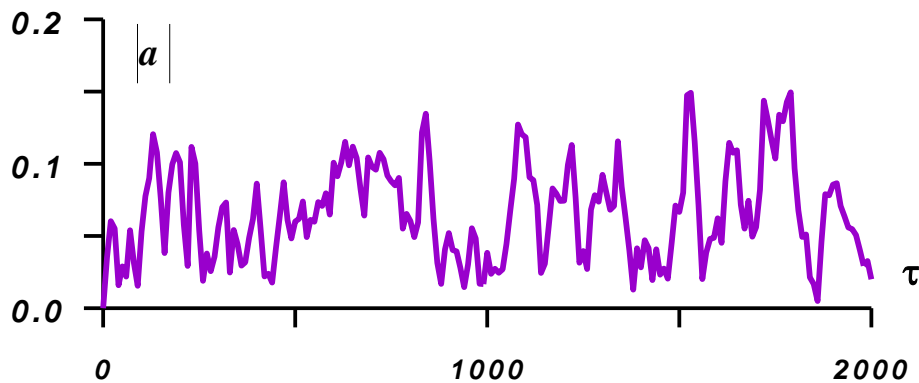
$$L_x = 120\lambda, L_z = 15\lambda$$



Complication of transverse radiation structure with increasing of the beam width

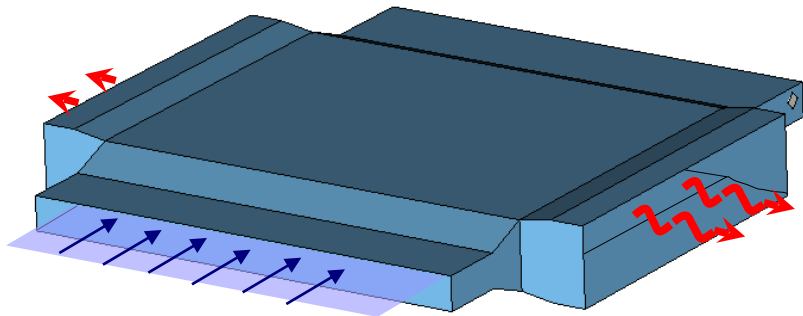


Two-dimensional chaotic behavior



$$L_x = 120\lambda, L_z = 60\lambda$$

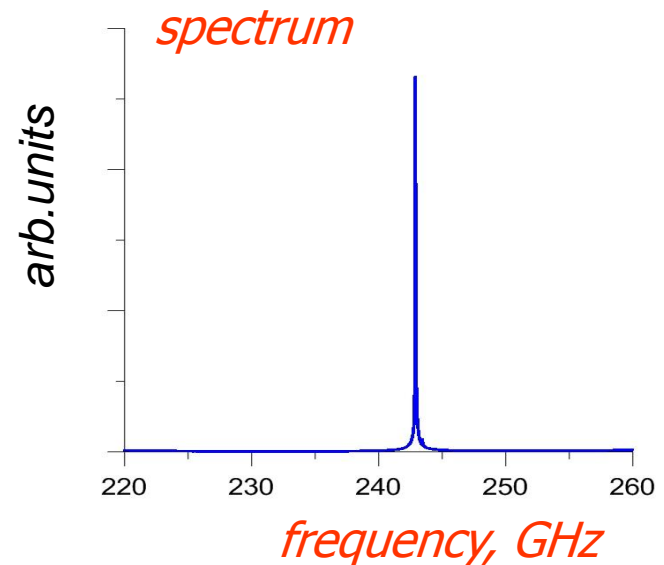
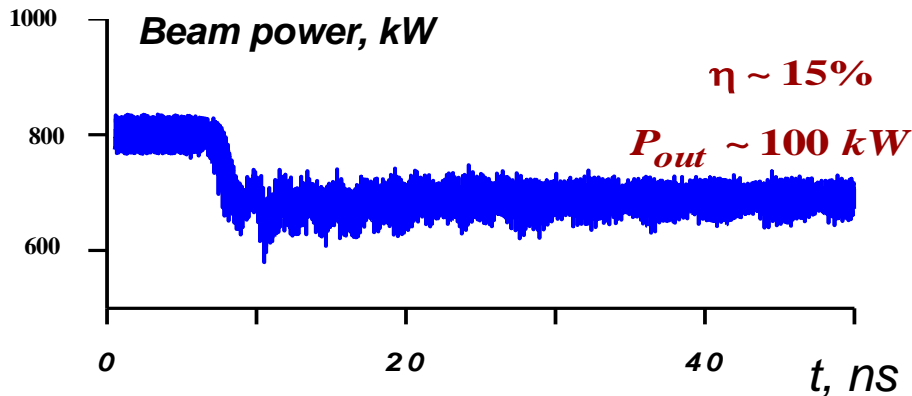
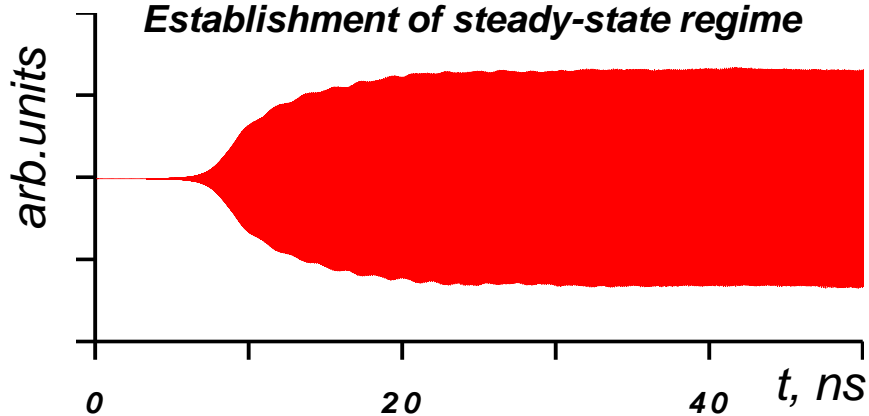
3D simulations of 240 GHz planar gyrotron



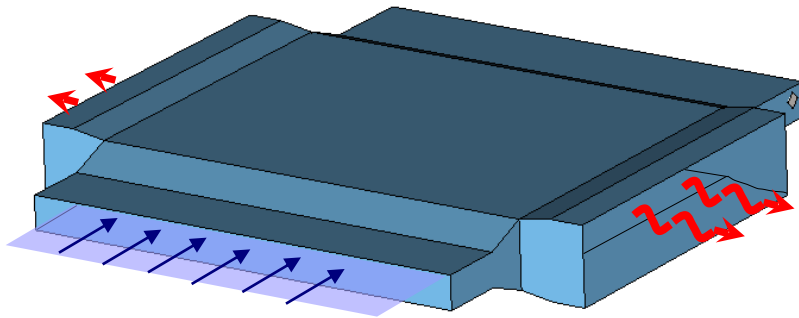
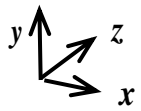
| | |
|------------------------|----------|
| Guiding magnetic field | 10.1 T |
| Electron current | 10 A |
| Accelerating Voltage | 80 kV |
| Pitch-factor | ~ 1 |

| | |
|--------------------|-------|
| Resonator width | 20 mm |
| Resonator length | 15 mm |
| Gap between plates | 3 mm |

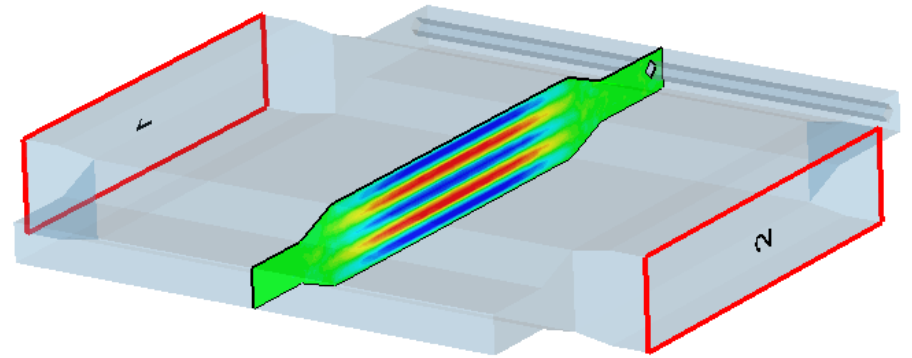
Establishment of steady-state regime



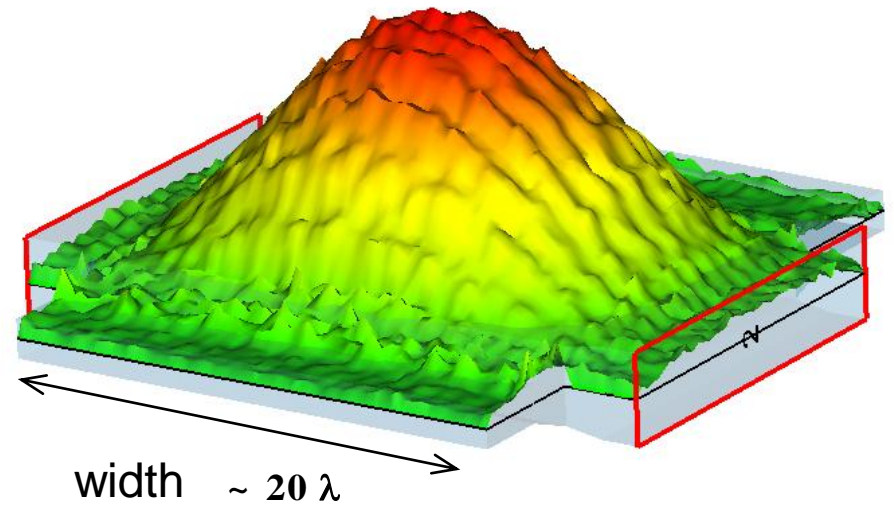
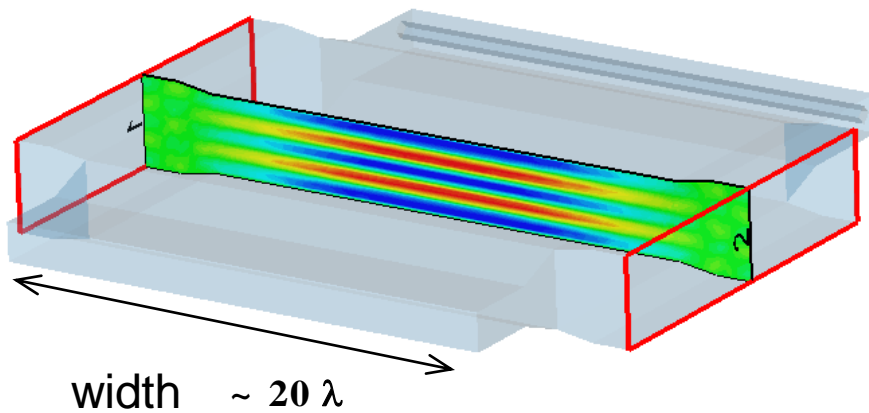
3D simulations of 240 GHz planar gyrotron



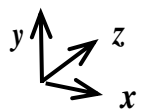
Longitudinal structure of E_x field component



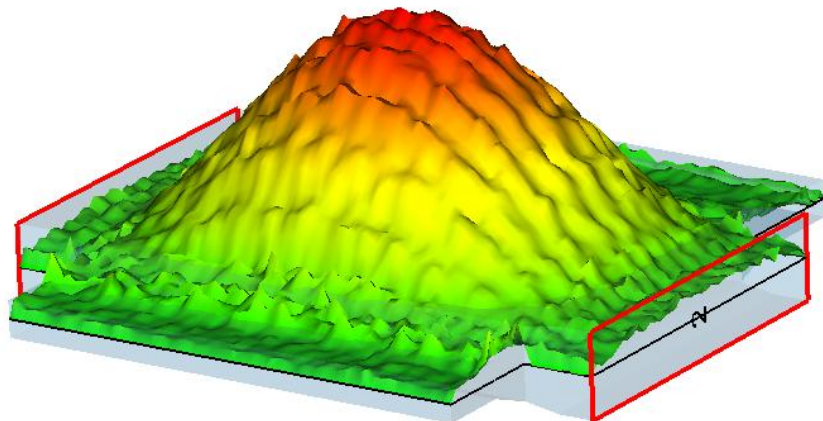
Transverse structure of E_x field component



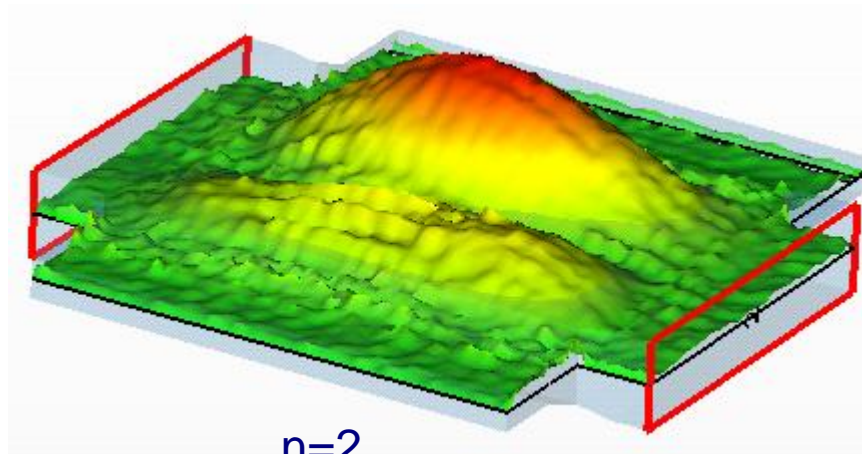
3D simulations of 240 GHz planar gyrotron



$H_0 = 10.1 T$

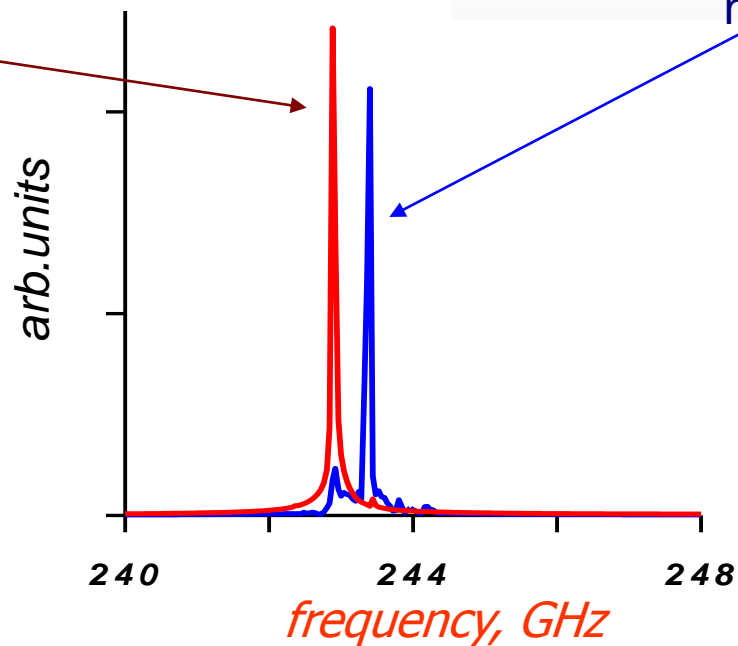


$H_0 = 10.3 T$



$n=1$

$n=2$



Conclusion

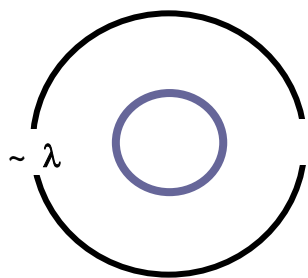
- 1. For increasing of integral power of short wavelength gyrotrons up to level of several hundred kilowatts the planar scheme of gyrotron with transverse energy extraction is suggested.*
- 2. Analysis of nonlinear dynamics in the frame of time domain approach demonstrates effective mode selection over longitudinal and both transverse coordinates.*
- 3 Results of averaged approach are partially supported results of direct pic code simulations*



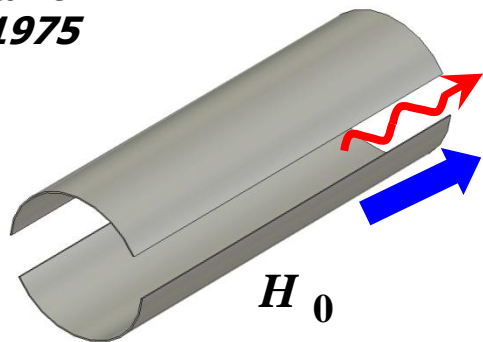
Evolution of conception of gyrotron with side slits

(transformation of slits originally used for transverse mode selection to main channel of diffractive energy extraction)

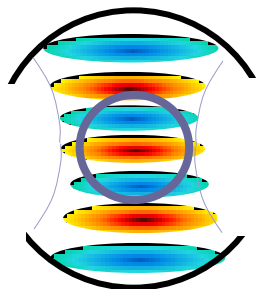
Gyrotron with longitudinal side slits



I.I. Antakov et al, 1975



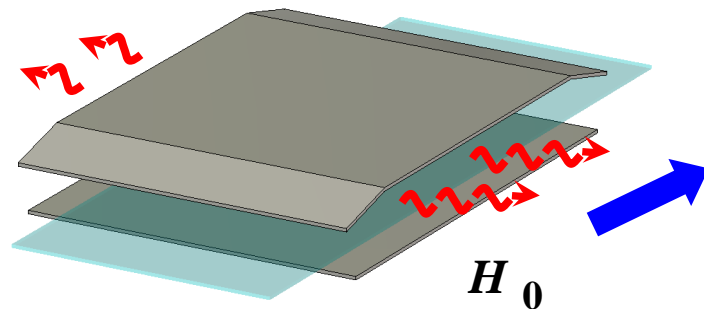
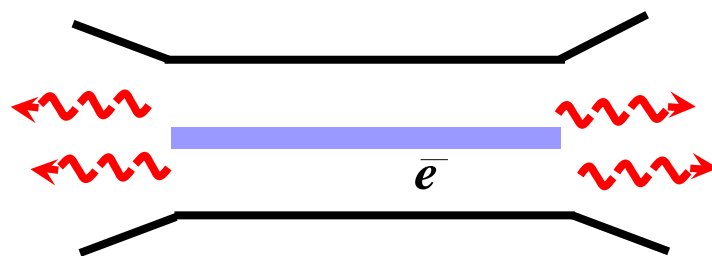
Confocal quasi-optical gyrotron



R.J. Temkin et al

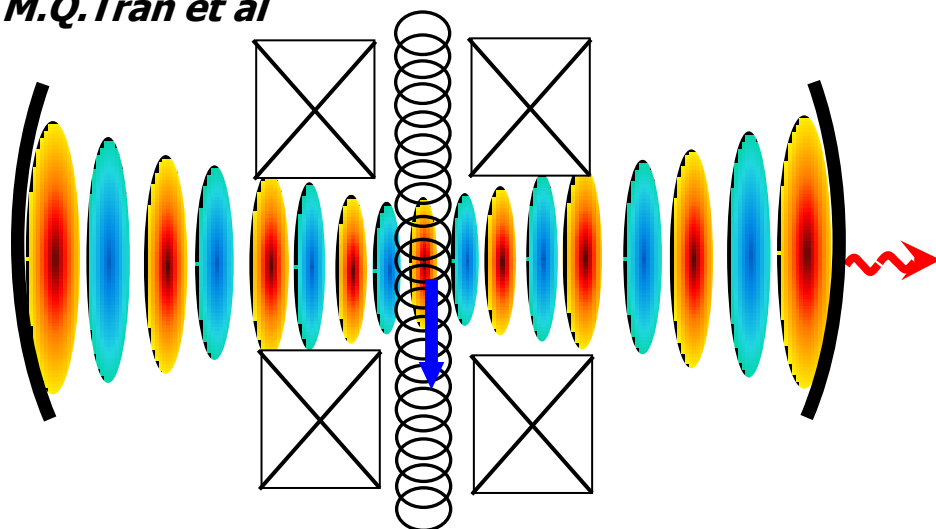


Planar gyrotron with transverse energy extraction



Quasi-optical gyrotrons

M.Q. Tran et al



- energy extraction in transverse direction by 2 counter-propagating wave with group velocity close to c
- absence of mode selection on transverse coordinate
- bulky m.w. system

